

OKLAHOMA DEPARTMENT OF ENVIRONMENTAL QUALITY
Full Compliance Inspection Form: Regulated Medical Waste Processing Facility

Permit Number:		County:		Inspection Date:	
Facility Name					
Facility Location		Phone #			
		City	State	Zip Code	
Facility Contact					
Oklahoma Administrative Code (OAC) 252:515 Each item includes a summary of requirements. Violations found should be marked as non-critical or critical and explained in remarks.		Item	Non-Critical	Critical	Remarks
Stormwater Management		1			
Is the Facility operated to: (1) Prevent the discharge of contaminated stormwater unless the proper permit is obtained from the DEQ's Water Quality Division? [17-3(a)(1)] (2) Prevent the discharge of pollutants into waters of the U.S., including wetlands, that violates any requirements of the federal Clean Water Act (CWA), including but not limited to the Oklahoma Pollutant Discharge Elimination System (OPDES) requirements? [17-3(a)(2)] (3) Prevent the discharge of a non-point source of pollution to waters of the U.S., including wetlands, that violates any requirement of an area-wide or State-wide water quality management plan that has been approved in accordance with the federal CWA? [17-3(a)(3)] (4) Comply with all requirements of their OPDES permit and maintain a copy of the OPDES permit in the operating record, if applicable? [17-3(a)(4)]					
Prohibited Wastes					
Does the o/o prevent the disposal of hazardous, radioactive, regulated medical and regulated PCB waste? [19-31(a)] Does the o/o only accept regulated medical waste? [19-31(b)] If approved to accept NHIW, please identify					
Public Access Control		3			
Are artificial and/or natural barriers in place to discourage unauthorized traffic and uncontrolled dumping? [19-32]					
Measuring Waste		4			
Are the scales tested and certified annually by the Oklahoma Department of Agriculture, Food and Forestry? [19-33(a)(2)] Is all waste received at the facility measured, either by weight or volume (cubic yards), recorded in the operating record and made available to DEQ upon request? [19-33(c)] If yes, identify weight or volume (cubic yards)					
Limitations on Wastes Received		5			
Does the o/o accept > 200 tons of waste per day (tpd) from locations > 50 miles from the facility and/or does the o/o accept > 200 tpd from outside the State? If no, go to the next item. [19-34]					
If yes, is the facility operated in full compliance with the requirements of OAC 252:515-19-34(a)(2) and 252:515-19-34(c)(2) – (4)? If the facility accepts more than 200 tpd from outside the State, does the facility have an approved disposal plan? [19-34-(d)]					

Litter Control	6			
Does the o/o ensure unloading is performed in a manner to minimize scattering of litter? [19-35(b)(2)]				
Does the o/o ensure litter is collected from the site at least once per week, or more often if necessary? [19-35-(b)(4)]				
Air Quality Criteria	7			
Does the o/o appear to comply with all requirements of the Oklahoma Clean Air Act and rules of the Air Quality Division of the DEQ? [19-36(a)]				
Does the o/o prevent the discharge of any visible fugitive dust emissions beyond the property boundaries or cause air quality standards to be exceeded or interfere with the maintenance of air quality standards? [19-36(c)]				
Disease Vector Control	8			
Does the o/o take measures to control on-site populations of disease vectors by using techniques appropriate for the protection of human health and the environment? [19-37(b)]				
Placement of Wastes	9			
Does the o/o prevent solid waste from being placed in waters that communicate with waters of the State located outside the permit boundary? [19-38(a)]				
Does the o/o maintain waste-free buffer zones at least 50' in width between all waste disposal and/or handling areas and adjacent property? [19-38(b)] If no, Has the DEQ approved the smaller buffer zone? [19-38(b)(4)]				
Record Keeping and Reporting	10			
Does the o/o maintain an operating record near the facility, containing all records concerning the planning, construction, operation and closure monitoring of the facility? [19-40(a)]				
Does the o/o maintain the operating record until the post-closure monitoring period is terminated? [19-40(a)]				
Treatment Methods	11			
Does the o/o treat regulated medical waste by incineration in an incinerator that: (A) is designed for the destruction of regulated medical waste; (B) will consistently achieve microbial inactivation; and (C) is permitted by the DEQ's Air Quality Division and operated in accordance with such permit [23-4(a)(1)]				
Does the o/o treat regulated medical waste by microwave sterilization, or steam sterilization at a sufficient temperature and pressure, for a sufficient time to consistently achieve microbial inactivation? [23-4(a)(2)]				
Does the o/o treat regulated medical waste by chemical disinfection where contact time, concentration and quantity of the chemical disinfectant are sufficient to consistently achieve microbial inactivation; or any other treatment method demonstrated to be effective in consistently achieving microbial inactivation? [23-4(a)(3) & (4)]				

General				
Does the o/o have a DEQ approved plan implemented for: (1) Excluding wastes that are not to be processed? [23-31(c)(1)] (2) Safely storing wastes until proper processing and disposal occurs? [23-31(c)(2)] (3) Responding to emergencies? [23-31(c)(3)]	12			
Are decontamination facilities provided? [23-31(d)]				
Identify and deficiencies and/or deficiencies in the implementation of the approved plans.				
Radiation				
Does the o/o implement a DEQ-approved procedure for screening wastes and handling radioactive wastes? [23-32(a)]	13			
Does the o/o have fixed radiation detection monitors installed to monitor incoming wastes? [23-32(b)]				
a. Are the radiation monitors capable of detecting radiation sources as small as 0.25 millicuries of Cs137?				
Does the o/o have an automated waste processing unit equipped with an interlock system to automatically stop upon detection of radiation? [23-32(c)]				
Radiation Continued				
Does the o/o have hand-held radiation detectors used to screen wastes when the fixed detectors detect radiation? [23-32(d)]	13			
When detected, does the o/o segregate the radioactive wastes from the remainder of the waste stream? [23-32(e)]				
Contingency Plan				
Does the o/o have a DEQ approved contingency plan? [23-33]	14			
Emergency Response				
Does the o/o maintain copies of emergency response agreements with applicable local agencies in the operating record and submit the agreements to DEQ? [23-34]	15			
Storage				
Does the o/o ensure all waste is processed within 24 hours of delivery? [23-35] a. Is all waste not processed within 24 hours of delivery placed into refrigerated storage at 45°F? [23-35] b. Is all waste not processed within 96 hours transported to an alternate permitted site? [23-35]	16			
Exclusion of Prohibited Wastes				
Does the o/o notify DEQ by the end of the next working day of: (A) Any waste identified and rejected prior to receipt as a prohibited waste; or (B) Any load identified and rejected at the gate, during random inspections, as a prohibited waste [29-3(e)]	17			

Closure/Post-Closure Plans	18			
Has the o/o submitted a closure plan and post-closure plan describing how compliance with Part 3 and Part 5 of OAC 252:515-25 will be achieved? [25-2(a) & 25-2(b)]				
Did the o/o submit a revised closure plan when a cost estimate adjustment is required or whenever a modification to the permit will affect closure duties or requirements? [25-2(c)]				
Did the o/o submit a revised post-closure plan when a cost estimate adjustment is required or whenever a modification to the permit will affect post-closure duties or requirements? [25-2(c)]				
Miscellaneous	19			
In compliance with permit conditions, requirements of DEQ NOV(s)/Orders not identified above, other requirements of OAC 252:517, other DEQ rules				
Cost Estimates & Financial Assurance				
Non-compliance with any of the following is a Critical Violation.				
<p>Did the o/o adjust cost estimates no later than:</p> <p>a. April 9 of each year (for all financial assurance mechanisms except corporate test/guarantee & local government test/guarantee)? [27-34(a)]</p> <p>b. 90 days after the end of the corporate fiscal year (if using a corporate test/guarantee)? [27-34(b)]</p> <p>c. 180 days after the end of the local government fiscal year (if using a local government test/guarantee)? [27-34(c)]</p> <p>Currently approved closure cost estimate: \$ _____ Date approved: _____</p> <p>Currently approved post-closure cost estimate: \$ _____ Date approved: _____</p>				
<p>Has the o/o established financial assurance for closure and post-closure through one or more DEQ-approved financial assurance mechanisms? [27-2(a) & 27-3] Circle the financial assurance mechanism(s) used.</p> <p style="text-align: center;">Cash/Certificate of Deposit/Trust Fund/Escrow Account/Surety Bond/Letter of Credit/Insurance/Corporate financial test/Local Government Financial Test/Corporate guarantee/Local government guarantee/State approved mechanism</p> <p>Identify the current amount of each financial assurance mechanism:</p> <p>Cash: \$ _____</p> <p>Certificate of Deposit: \$ _____</p> <p>Trust Fund: \$ _____</p> <p>Escrow Account: \$ _____</p> <p>Surety Bond: \$ _____</p> <p>Letter of Credit: \$ _____</p> <p>Insurance: \$ _____</p> <p>Corporate financial test/guarantee: \$ _____</p> <p>Local Government test/guarantee: \$ _____</p> <p>Other: \$ _____</p> <p>Grand Total: \$ _____</p>				
If more than one financial assurance mechanism is used, does the amount of financial assurance for all mechanisms total at least the approved cost estimates? [27-72(b)]				
Is the amount of cash deposited with the State Treasury equal to the approved cost estimates? [27-74(a)]				
Did the o/o submit documentation to the DEQ showing the cash was deposited with the State Treasury? [27-74(c)]				
<p>Certificate of Deposit</p> <p>Identify the issuing bank(s) _____</p> <p>Is the certificate of deposit in an amount equal to the approved cost estimates? [27-75(a)]</p> <p>Did the o/o submit a copy of the CD to the DEQ? [27-75(d)]</p>				

Trust Fund/Escrow Account

Identify the trust/escrow bank _____

Was this facility accepting waste on or before April 9, 1997?

If yes, does the o/o make adequate payments into the trust fund/escrow account no later than April 9th of each year to ensure the trust/escrow is fully funded by April 9, 2011 or the economic life of the facility, whichever is shorter? [27-76, 27-77]

Identify the amount and date of last deposit: \$ _____ / _____

If no,

a. Identify the date of initial receipt of waste _____

b. Does the o/o make adequate payments into the trust fund/escrow account no later than April 9th of each year to ensure the trust/escrow is fully funded within 15 years of the initial receipt of waste, or the economic life of the facility, whichever is shorter? [27-76, 27-77]

Identify the amount and date of last deposit: \$ _____ / _____

Did the o/o submit a copy of the trust/escrow agreement to the DEQ? [27-76(d), 27-77(d)]

Surety Bond

Identify the Surety company _____

Did the o/o submit a copy of the surety bond to the DEQ? [27-78(c)]

Is the surety company listed in Circular 570 of the U.S. Dept. of the Treasury? [27-78(d)]

Is the penal sum of the bond in an amount equal to at least the approved cost estimates? [27-78(e)]

Did the o/o establish a standby trust fund? [27-78(g)]

Letter of Credit

Identify the bank issuing the letter of credit _____

Did the o/o submit a copy of the LOC to the DEQ? [27-79(b)]

Is the letter of credit in an amount equal to the approved cost estimates? [27-79(e)(2)]

Insurance

Identify the insurance company _____

Did the o/o submit a copy of the insurance policy to the DEQ? [27-80(d)]

Is the face amount on the insurance policy equal to the approved cost estimates? [27-80(e)(1)]

Corporate Test/Guarantee

Identify the corporation _____

Identify the date the corporate fiscal year ends: _____

Did the o/o submit the information in OAC 252:515-27-81(c) to the DEQ and place it in the operating record within 90 days of the end of the corporate fiscal year? [27-81(c)]

Local Government Test/Guarantee

Identify the local government _____

Identify the date the local government fiscal year ends: _____

Did the o/o submit the information in OAC 252:515-27-82(h) and place it in the operating record within 180 days of the end of the local government fiscal year? [27-82(h)]

☐ ACTIVE

Operating Status

☐ Closed

Closed Date: _____

Date entered Post Closure: _____

☐ Other

Identify: _____

Purpose of Visit	
<input type="checkbox"/> INSPECTION	
<input type="checkbox"/> Complaint	Complaint Number(s): _____
<input type="checkbox"/> NOV Follow-up	Case No.: _____
<input type="checkbox"/> Order Follow-up	Case No.: _____

ACTION	
<input type="checkbox"/> None	
<input type="checkbox"/> Notice to Comply	

DEQ Inspector Signature: _____	Date: _____
Facility Representative Signature: _____	Date: _____