

DISCLOSURE STATEMENT FORM

INFORMATION AND INSTRUCTIONS: The Solid Waste Management Act requires applicants to provide the Department of Environmental Quality with information about themselves, any officer, director or partner, any person employed by the applicant as general or key manager who directs the operations of the site which is the subject of the application, and any person owning or controlling more than five percent (5%) of the applicant's debt or equity. By law, the "Disclosure Statement" must be completed by all applicants for the issuance or transfer of any solid waste permit.

If the applicant is a publicly held company, it does not need to submit a disclosure statement, but only need submit the most recent annual (SEC Form 10-K) and quarterly reports (SEC Form 10-Q) required by the Securities and Exchange Commission (SEC), which provide information regarding legal proceedings in which the applicant has been involved. However, the applicant must submit such other information as the Department may require that relates to the competency, reliability, or responsibility of the applicant, officers, directors, or other persons as set out above.

PLEASE PROVIDE THE FOLLOWING INFORMATION: (If additional space is required to answer any of the following questions, please make attachments as needed.)

- (1) Name of facility:

- (2) Applicant's full name and social security number:

- (3) Applicant's business address:

- (4) Applicant's business telephone number:

- (5) Applicant's form of business:
 - _____publicly-held corporation;
 - _____privately-held corporation;
 - _____partnership or sole proprietorship;
 - _____municipality or public agency;
 - _____other: _____

- (6) Is Applicant a publicly-held company required to file annual reports with the Securities and Exchange Commission, or a wholly-owned subsidiary of such a company?
_____yes _____no

- (7) If Applicant answered "yes" to question (6) above, Applicant is required to submit copies of the most recent annual and quarterly reports required by the SEC *that provide information regarding legal proceedings in which Applicant has been involved*. In addition, list below, the name and business address of any person employed by the Applicant as a general or key manager who directs the operations of the site or facility which is the subject of the application.

(NOTE: If Applicant is required to submit SEC reports under this section, no further reporting is required under the disclosure statement requirement, and Applicant should skip to the "Certification and Oath" section on the last page of this form. Applicant should submit copies of any SEC reports as an attachment to this form to be submitted as part of the permit application. If Applicant answered "no" to question (6) above, Applicant is required to complete all remaining sections of this Form.)

(8) Full name, business address and social security number of all affiliated persons:

(NOTE: "Affiliated person" means:

- (a) any officer, director, or partner of the applicant;
- (b) any person employed by the applicant as a general or key manager who directs the operations of the site or facility which is the subject of the application; and
- (c) any person (including corporations, partnerships, etc.) owning or controlling more than five (5) percent of the Applicant's debt or equity.);

(9) Full name and address of any legal entity in which the Applicant holds a debt or equity interest of at least 5 percent, or which is a parent company or subsidiary of the Applicant, and a description of the ongoing organizational relationships as they may impact operations within the State:

(10) Description of the experience and credentials of the Applicant and any "affiliated person", including any past or present permits, licenses, certifications, or operational authorizations relating to environmental facility regulation:

(11) Listing and explanation of any administrative, civil or criminal legal actions against the Applicant or any affiliated person which resulted in a final agency order or final judgment by a court of record

